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UTILITY							
PATENT APPLICATION							
TRANSMITTAL							

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b).

Country

Name (Print/Type)

USA

Timpthy P. Evans

	Attorn	ey Docket No.	SD8317.2	
	First Ir	nventor or Appli	cation Identifier	KLINER
Title PREFORM FOR PRODUCING AN OPTICAL				AN OPTICAL FIBER AND

Express Mail Label No. EL177881975US APPLICATION ELEMENTS **Box Patent Application** ADDRESS TO: **Assistant Commissioner for Patents** See MPEP chapter 600 concerning utility patent application Washington, DC 20231 contents \*Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) 5. (Submit an original and duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) [Total Pages 38] Specification (preferred arrangement set forth below) a Computer Readable Copy - Descriptive title of the Invention Cross References to Related Applications h Paper Copy (identical to computer copy) Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix Statement verifying identity of above copies - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 37 C.F.R. § 3.73(b) Statement - Claim(s) 8. (when there is an assignee) - Abstract of the Disclosure Power of **English Translation Document** 9. Attorney (if applicable) Information Disclosure Drawing(s) (35 U.S.C. 113) [Total Sheets | 13 ] 10. Statement (IDS)/PTO-1449 Copies of IDS Total Pages 2 1 Oath or Declaration Preliminary Amendment Citations Newly executed (original or copy) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Small Entity Statement filed in prior application, Copy from a prior application (37 C.F.R. § 1.63(d)) Status still proper and desired (for continuation/divisional with Box 16 completed) Statement(s) (PTO/SB/09/12) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attaced deleting (if foreign priority is claimed) inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 15. Other: 'NOTE FOR ITEMS 1 & 13; IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09 / 778,329 Prior application information: Examiner HOFFMANN, JM Group / Art Unit: 1731 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. COORESPONDENCE ADDRESS Customer Number or Bar Code Label 0215868 or Correspondence address below (Insert Customer No. or Attach bar code label here) Timothy Evans Name MS 9031 Sandia National Laboratories Address 7011 East Avenue City Livermore State CA Zip Code 94550

41,013 Signature 41,013 | Date | Will vary depending upon the needs of the individual case. Any comments on the amount of the Chief Information Officer. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILES OR Date Burden Hour Statement: This form is estimated to take 0/2 ho time you are required to complete this form should be sent to COMPLETED FORMS TO THIS ADDRESS. SEND TO: it Commissioner for Patents, Box Patent Application, Washington, DC 20231.

(925) 294-3690

Registration No. (Attorney/Agent)

Fax

(925) 294-3389

Telephone

## **FEE TRANSMITTAL FOR FY 2003**

Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$)750.00

Complete if Known						
Application Number	NOT ASSIGNED					
Filing Date	06/25/03					
First Named Inventor	KLINER					
Examiner Name	NOT ASSIGNED					
Group / Art Unit	NOT ASSIGNED					
Attorney Docket No.	SD-8317.2	***				

METHOD OF PAYMENT (check one)	FEE	CALC	CULA	TION	(continued)	
The Commissioner is hereby authorized to charge		DITION				
1. Indicated fees and credit any over payments to:	Large Fee	Entity Fee	Small Fee	Entity Fee		Fee
Deposit Account Number 50-0583	Code	(\$)	Code	(\$)	Fee Description	Paid
	1051	130	2051	65	Surcharge – late filing fee or oath	
Deposit Account Name SNL by KCO	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
Charge Any Additional		130	1053	130	Non-English specification	
Fee Required Under 37 CFR 1.16 and 1.17	1812	2,520	1812	2,520	For filing a request for reexamination	
2. Payment Enclosed:		920*	1804	920*	Requesting publication of SIR prior to	
		1,840	1805	1,840	Examiner action Requesting publication of SIR after Examiner	
		110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	400	2252	200	Extension for reply within second month	
Large Entity Small Entity	1253	920	2253	460	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Code (\$) Code (\$)	1254	1,440	2254	720	Extension for reply within fourth month	
1001 740 2001 370 Utility filing fee <b>750.</b>	1255	1,960	2255	980	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal	
1003 510 2003 225 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1004 740 2004 380 Reissue filing fee	1403	280	2403	140	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) \$ 750.00	1452	110	2452	55	Petition to revive - unavoidable	
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	1453	1,280	2453	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	1501	1,280	2501	640	Utility issue fee (or reissue)	
Extra Claims Reg. Fee Fee Paid	1502	460	2502	230	Design issue fee	
	1503	620	2503	310	Plant issue fee	
Total Claims 9 -20**= 0 X 18. = 0 Independent 1 - 3**= 0 X 84. = 0	1460	130	1460	130	Petitions to the Commissioner	
Claims	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dependent 0 = 0.	1806	180	1806	180	Submission of Information Disclosure Stmt	
**or number previously paid, if greater; For Reissues, see below.  Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee         Fee         Fee         Fee Description           Code         (\$)         Code         (\$)           1201         84         2202         42         Independent claims in excess of 3	1809	740	2809	370	Filing a submission after final refection (37 CFR 1.129(a))	
1202 18 2201 9 Claims in excess of 20	1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1203 280 204 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims		Oth	er fee (:	specify)	1	
over original patent		Oth	er fee (:	specify)		
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent						
		*Red	uced by	Basic F	Filing Fee Paid SUBTOTAL (3) (\$)	
SUBTOTAL (2) (\$)0.00						
(4)0.00						
SUBMITTED BY	<u> </u>		I Cor	nnleto	(if applicable)	-
Name (Printed/Typed) Timothy P. Evans			Reg.	Number	41.013 Telephone (925) 294	-3690
7 1/1				mey/Age	nt)	
Signature / Manual 1	Track	$\sim$	1~v	ate .	6/25/03	

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